

Health Overview & Scrutiny Committee

14 January 2015

Report of the Director of Adult Social Care and the Director of Public Health

2014/15 Second Quarter Financial, Performance & Equalities Monitoring Report- Health & Wellbeing

Summary

- 1 This report analyses the latest performance for 2014/15 and forecasts the financial outturn position, by reference to the service plans and budgets for all of the services falling under the responsibility of the Director of Adult Social Care, and the Public Health services falling under the responsibility of the Director of Public Health.

Financial Analysis

- 2 A summary of the service plan variations is shown at table 1 below.

**Table 1 – Health & Wellbeing Financial Projections Summary
2014/15 – Quarter 2 - September**

	2014/15 Latest Approved Budget			Projected Outturn Variation	
	Gross Spend £000	Income £000	Net Spend £000	£000	%
Adult Assessment & Safeguarding	40,353	13,802	26,552	+189	+0.7%
Adult Commissioning, Provision & Modernisation	28,503	5,067	23,436	+823	+3.5%
Director of Adult Social Care	418	-	418	-	-
Public Health Services	7,862	466	7,397	+183	+2.5%
Public Health Grant	-	7,305	-7,305	-	-
Total Health & Wellbeing	77,136	26,640	50,497	+1,195	+2.4%

- 3 Table 1 shows that Health & Wellbeing budgets are reporting overall net financial pressures of £1,195k. This is an improvement of £485k compared to the £1,680k overspend reported at quarter 1.

Adult Assessment & Safeguarding (+£189k / 0.7%)

- 4 In common with councils across the country, there is a significant budget pressure in respect of meeting increased demographic demand for adult social care and the increasing complexity, and therefore cost, of care packages for the ageing population. The recently published ONS (Office for National Statistics) Population Projections show that the 65-69 year old population of the City of York expanded by 18.9% (1,738 people) between 2011-2013, while the over 90 year old population expanded by 14.3% (337 people) in the same 2 years. The on-going implications of the significant overspends in 2013/14 and the estimated increase in numbers for 2014/15 result in projected pressures across a number of budgets that are £91k in excess of the amount of growth and contingency funding that the council was able to allocate to the service over the two financial years.
- 5 Staffing costs are currently projected to overspend by £98k due mainly to additional safeguarding staff hours required in the first half of the year to deal with a backlog of cases, and additional management capacity over and above the amount provided for in the budget.
- 6 An additional pressure, that was not evident at the time the budget was set, is in relation to DOLS (Deprivation of Liberty Safeguards). All councils with adults responsibilities have been impacted by a recent court ruling that is dramatically increasing the number of formal applications that must be processed. This increase could not have been foreseen at the time that the 2014/15 budget was set. Cabinet has now agreed to allocate one-off contingency funding to cover the net additional costs in 2014/15.

Adult Commissioning, Provision & Modernisation (+£823k / 3.5%)

- 7 There is a significant projected overspend of £864k within the Elderly Persons Homes (EPH) budgets. The vast majority of this is due to overspends and pressures that were identified during 2013/14 but were not covered by the additional growth funding allocated to Adult Services as part of the 2014/15 budget process:

- Utilities, cleaning, catering and Repair & Maintenance. This is the largest projected variance for this area and reflects the actual increase in costs to 2013/14 for essential services at the residential homes, which continues into 2014/15. (+£314k)
 - Increased staffing ratios. The budgeted staffing ratios do not fully take into account either the impact of the move to the household model of provision in the two dementia care homes, nor the changing client mix within the remaining five homes. Both of these changes have increased the ratio of staff to residents and result in a continuing overspend in 2014/15. (+£180k)
 - Temporary staffing costs. The nature of the service provision has meant that the use of temporary staff has increased in recent years, for which there is no specific budget provision. (+£214k)
 - Undelivered 2013/14 budget saving following changes to the EPH reprovision project. (+£165k)
 - Net additional income. The residential homes receive income from beds commissioned by health partners and from charges to residents who do not have their care fully funded by the council. Based on current patterns, there is a projected surplus for 2014/15. (-£9k)
- 8 Other minor variations within Small Day Services, Contracted Services, SHECs (Sheltered Housing with Extra Care), Home Care Nights Service and staffing budgets contribute to a net projected underspend of £41k.

Public Health (+£183k / 2.5%)

- 9 The former PCT (Primary Care Trust) budget for GU (Genito-urinary) Activity was allocated on a population basis (25% to CYC and 75% to NYCC). However in practice the actual activity has been closer to 50:50, leading to a significant overspend on this budget in 2013/14 which is projected to continue into 2014/15 (+£658k). In addition there is a one-off backdated payment of £125k outstanding for 2013/14. For 2014/15 a one-off budget virement of £488k has been made from other Public Health budgets to help offset the pressure. For future years, work is underway to retender this contract from July 2015 with the aim of delivering a new service within the available budget.
- 10 More minor savings and variations within a number of other contracts contribute to a net projected underspend of £112k across all other Public Health budgets.

Performance Analysis

Adult Social Care

- 11 The performance for **delayed transfers of care** (DTC) from hospital is showing a small increase in line with the national trend, against a background of unprecedented demand for acute services.
- 12 The Quarter 2 **Home Care Satisfaction Survey** for York shows a 1% increase in satisfaction, from 89% to 90%, and we will be working with our partners to increase levels further in the next quarter.
- 13 Work to implement the changes required by the **Care Act** is making good progress, and the government is currently consulting on a number of changes to the way data is collected in 2015-16, in order to monitor the effectiveness of the Care Act reforms.

Public Health Indicators.

- 14 A new suite of **smoking indicators** has been published with mixed outcomes for York. Positive indicators for York include comparatively fewer lung cancer registrations and smoking related hospital admissions and an improving trend for smoking in pregnancy. Smoking prevalence, however, has gone up in York for the second year running especially amongst people in routine and manual occupations. One in three people in York working in routine and manual occupations are now estimated to smoke compared with one in four two years ago. With regards to the effectiveness of smoking cessation services, York has one of the lowest rates in the country for 4 week quitters as a proportion of estimated smokers.
- 15 New data on **excess winter deaths** is positive for York in relation to the national average. In 2012/13 there were 15.3% more deaths in York in the winter period, compared with the non-winter period. This is lower (better) than the England average of 19.8%.
- 16 Information on **health checks for people with Learning Disabilities** has been released for Clinical Commissioning Groups (CCGs). The Vale of York CCG has a lower GP participation rate in the programme, 55% compared with 65% nationally and a lower health check rate, 33% compared with 44% nationally.

- 17 **Seasonal flu vaccine uptake** by GP patients to October 31st 2014 is better in the Vale of York CCG compared with the national average e.g. 63% of patients aged over 65 have been vaccinated to date compared with 57% across England.
- 18 The latest figures (2013/14) for the **National Child Measurement Programme** (NCMP) have been issued for York. The proportion of obese children remains about 20% lower than the national average for both reception year and Year 6 children. The figures also show there is a lower prevalence of children who are overweight (including obese) in Year 6 and a higher prevalence of healthy weight children in Year 6. While City of York Council welcomes the latest NCMP figures being below the national average they are still a call to action. Nearly 8% of children starting school (reception year) are obese and by the time they are in their final year of primary school (Year 6) this has doubled to nearly 16%.
- 19 **Life Expectancy** at birth in York is **79.4** years for men and **83.5** years for women according to the latest data (2011 to 2013). These figures are similar to the national average and better than the regional average. For those people in York who have reached the age of 65, life expectancy is **83.4** years for men and **86.6** years for women. (This figure for women is higher than the national average).
- 20 **Under 18 conception rates** are falling in York in line with national and regional trends. Analysis of aggregated three year ward level data allows identification of those wards in York with positive trends e.g. Westfield and those where under 18 conception rates appear to be increasing e.g. Micklegate.

Equalities Update

- 21 Members will recall at their meeting in September that they agreed that they would monitor progress on key equality performance indicators in relation to the Single Equality Scheme that come within the remit of this committee. The Equality Score Card is attached at Annex 1 which shows performance for those indicators where data is available. Excluded from these indicators are those indicators where data is only available annually which are predominately in relation to health. Members should also note that indicators in relation to customer satisfaction are also collected annually and are therefore not included as updates were given at the September 2014 meeting.

- 22 The number of adults and older people and carers receiving self directed support via a direct payment in the year to 31st March as a % of all clients receiving community based services and carers receiving carer specific services. Performance has reduced from 9.39% to 7.66%. To try and improve performance all new customers are offered a Personal Budget as a default position. The introduction of the cash cards and the support planning toolkit and culture shift should make it easier for people to access a personal budget. There is on-going work through reviews with existing customers to look at people having the option to move to a personal budget. Self Direct Support Planning Training has been implemented.
- 23 In terms of implementing the Equality Scheme members should not that:
- Extensive consultation has taken place in the development of Burton Stone Lane Community Centre as a hub for people with Autism and complex needs.
 - The production of an Alcohol strategy is underway.
 - Consideration is being given to use two units of accommodation at Howe Hill to meet the gap in providing accommodation for teenage mums/parents and their child, or pregnant teenagers. Safeguarding issues are currently under consideration.
 - The Special Educational Needs Partnership Service has been integrated into the Trust Unit and the service is now known as SENDIAS (Special Educational Needs Information and Advisory Service) and provision has been extended to young people aged 25.
 - A Summer Safety campaign was launched in July centred around the 'Plan Safe, Drink Safe, Home Safe' campaign developed by the University of York St. John Students' Union. Measures included bus, mobile screen and poster advertising campaigns and distribution of beer mats to riverside venues.

Council Plan

- 24 The information included in this report is linked to the Protect Vulnerable People and Build Strong Communities elements of the Council Plan 2011-15.

Implications

- 25 The financial and equalities implications are covered within the main body of the report. There are no significant human resources, legal, information technology, property or crime & disorder implications arising from this report.

Risk Management

- 26 Adult Social Services budgets are under significant pressure. On going work within the directorate may identify some efficiency savings in services that could be used to offset these cost pressures before the end of the financial year. It will also be important to understand the level of investment needed to hit performance targets and meet rising demand for key statutory services. Managing within the approved budget for 2014/15 is therefore going to be extremely difficult and the management team will continue to review expenditure across the directorate.
- 27 Looking ahead for 2015/16 and beyond, due to the increasing demand and increasing complexity of people requiring care and support, the implications of the Care Act, the Better Care Fund and general reductions in central government funding, further transformation will be required to address the challenging budget position.

Recommendations

- 28 As this report is for information only there are no specific recommendations.

Reason: To update the committee on the latest financial and performance position for 2014/15.

Contact Details

Authors:

Richard Hartle
Finance Manager
Adults, Children & Education
Tel No. 554225

Helena Nowell
Strategic Support Manager
Adults & Public Health
Tel No. 551746

Sharon Brown
Performance &
Improvement Manager
Tel No. 554362

Chief Officers Responsible for the report:

Guy van Dichele
Director of Adult Social Care

Julie Hotchkiss
Director of Public Health

Report
Approved

Y

Date 5 January 2015

Specialist Implications Officer(s) None

Wards Affected: *List wards or tick box to indicate all*

All

Y

For further information please contact the author of the report






Background Papers

Second finance and performance monitor for 2014/15, Cabinet 16 December 2014

Annexes

Annex 1: Health Overview Scrutiny Committee Quarter 2 Progress Report
Equality Indicators

Annex 1: Health Overview Scrutiny Committee Quarter 2 Progress Report Equality Indicators

Supporting Independence	2011/12	2012/13	2013/14	2014/15	Trend	Y&H	GB	Comparators
People supported to live independently through social services PREVENTION	N/A	2822	2570	2552 (Qtr 2)				
People supported to live independently through social services PACKAGES OF CARE	N/A	1751	1753	1824				
Adults with learning disabilities in settled accommodation	73.10%	63.20%	82.60%	24.83% Qtr 2		77.90%	73.50%	
Number of adults and older people and carers receiving self directed support in the year to 31st March as a % of all clients receiving community based services and carers receiving carer specific services	N/A	31.50%	33.85%	34.86% Aug 2014			62.1%	
Number of adults and older people and carers receiving self directed support via a direct payment in the year to 31st March as a % of all clients receiving community based services and carers receiving carer specific services	14.40%	12.40%	9.39%	7.66%			19.1%	