



Notice of a public meeting of

Audit and Governance Committee

To: Councillors Pavlovic (Chair), Daubeney, Fisher (Vice-Chair), Lomas, Mason, Wann and Webb

Date: Wednesday, 16 June 2021

Time: 5.30 pm

Venue: Remote Meeting

AGENDA

This is not a formal meeting of this Committee. The Council is operating its scrutiny and decision making meetings in accordance with statutory requirements relating to holding 'Covid-safe' meetings between 7 May and 21 June 2021. The Audit and Governance Committee will continue to hold public informal sessions remotely for the purpose of commenting only on the business set out in the agenda below. Members of the public may register to speak in the usual way set out below.

1. Declarations of Interest

At this point in the meeting, Members are asked to declare:

- any personal interests not included on the Register of Interests
- any prejudicial interests or
- any disclosable pecuniary interests

which they might have in respect of business on this agenda.

2. **Minutes** (Pages 1 - 18)

To approve and sign the minutes of the meeting held on 17 February 2021, 31 March 2021, and 14 June 2021.

3. **Public Participation**

It is at this point in the meeting that members of the public who have registered their wish to speak can do so. Members of the public may speak on agenda items or on matters within the remit of the committee.

Please note that registration deadlines have changed to 2 working days before the meeting, in order to facilitate the management of public participation at remote meetings. The deadline for registering is **5:00pm on Monday, 14 June 2021**.

To register to speak, please visit www.york.gov.uk/AttendCouncilMeetings to fill in an online registration form. If you have any questions about the registration form or the meeting, please contact Democratic Services. Contact details can be found at the foot of the agenda.

Webcasting of Remote Public Meetings

Please note that, subject to available resources, this remote public meeting will be webcast, including any registered public speakers who have given their permission. The remote public meeting can be viewed live and on demand at www.york.gov.uk/webcasts.

During coronavirus, we've made some changes to how we are running council meetings. See our coronavirus updates (www.york.gov.uk/COVIDDemocracy) for more information on meetings and decisions.

4. **Progress against the Action Plan** (Pages 19 - 30)

This report updates the Committee on the associated action plan from Full Council on 4th May 2021 following the Public Interest Report.

5. Treasury Management Outturn Report (Pages 31 - 44)

Audit & Governance Committee are responsible for ensuring effective scrutiny of the treasury management strategy and policies, as stated in the Treasury Management Strategy 2020/21 approved by full Council on 27 February 2020.

6. Redmond Review - Update (Pages 45 - 48)

This report provides an update on the Government's recently published updated response to Sir Tony Redmond's independent review into the effectiveness of external audit and transparency of financial reporting in local authorities.

7. Key Corporate Risks Monitor 1 (Pages 49 - 86)

This report presents the Committee with an update on the key corporate risks for City of York Council.

8. Mazars Audit Strategy Memorandum (Pages 87 - 126)

This report and the attached Annex A from Mazars, the Council's external auditors, summarises the audit approach for the year ended 31st March 2021.

9. Annual Report of the Head of Internal Audit (Pages 127 - 184)

This report includes the Annual Report of the Head of Internal Audit, which summarises internal audit work undertaken in 2020/21 and provides an opinion on the overall adequacy and effectiveness of the council's framework of governance, risk management and internal control. An annual report setting out counter fraud activity and performance is also included.

Alongside this report the following internal audit reports referred to in annex 1 have been published on the Council's website under this meeting but will not be part of the agenda pack

<https://democracy.york.gov.uk/ieListDocuments.aspx?CId=437&MIId=13013&Ver=4>):

- SEN Ofsted Inspection & written statement of action (WSoA)
- Home working
- ICT Server Administration and Security
- ICT Licence Management

- Public Health – Healthy Child Service
- Cash handling

10. Audit and Governance Committee Work Plan (Pages 185 - 188)

To receive a draft plan of reports currently expected to be presented to future meetings of the Committee up to April 2022.

11. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

Name: Robert Flintoft

Telephone: (01904) 555704

Email: robert.flintoft@york.gov.uk

For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.