



## Notice of a public meeting of

### Audit & Governance Committee

<b>To:</b>	Councillors Pavlovic (Chair), Fisher, Lomas, Mason, D Taylor, Wann and Webb  Mr Mann and Mr Mendus (Independent Members)
<b>Date:</b>	Wednesday, 4 December 2019
<b>Time:</b>	5.30 pm
<b>Venue:</b>	The Thornton Room - Ground Floor, West Offices (G039) Ground Floor, West Offices (G039)

### AGENDA

#### 1. **Declarations of Interest**

At this point in the meeting, Members are asked to declare:

- any personal interests not included on the Register of Interests
- any prejudicial interests or
- any disclosable pecuniary interests

which they may have in respect of business on this agenda.

#### 2. **Minutes** (Pages 1 - 8)

To approve and sign the minutes of the meeting of the Audit & Governance Committee held on 18 September 2019.

#### 3. **Public Participation**

It is at this point in the meeting that members of the public who have registered their wish to speak can do so. The deadline for registering is by **5:00pm on Tuesday, 3 December 2019.**

To register please contact the Democracy Officer for the meeting, on the details at the foot of this agenda.

### **Filming, Recording or Webcasting Meetings**

Please note that, subject to available resources, this meeting will be filmed and webcast, or recorded, including any registered public speakers who have given their permission. This broadcast can be viewed at: <http://www.york.gov.uk/webcasts>.

Residents are welcome to photograph, film or record Councillors and Officers at all meetings open to the press and public. This includes the use of social media reporting, i.e. tweeting. Anyone wishing to film, record or take photos at any public meeting should contact the Democracy Officer (whose contact details are at the foot of this agenda) in advance of the meeting.

The Council's protocol on Webcasting, Filming & Recording of Meetings ensures that these practices are carried out in a manner both respectful to the conduct of the meeting and all those present. It can be viewed at:

[http://www.york.gov.uk/download/downloads/id/11406/protocol\\_for\\_webcasting\\_filming\\_and\\_recording\\_of\\_council\\_meetings\\_20160809.pdf](http://www.york.gov.uk/download/downloads/id/11406/protocol_for_webcasting_filming_and_recording_of_council_meetings_20160809.pdf)

#### **4. Monitor 3 2019/20 - Key Corporate Risks (Pages 9 - 44)**

This report presents an update on the key corporate risks (KCRs) for City of York Council, including a detailed analysis of KCR 8, relating to the Local Plan.

#### **5. Information Governance and Complaints (Pages 45 - 78)**

This report provides an update on the council's performance in respect of information governance, Information Commissioner's Office decision notices, publication of responses, and Local Government and Social Care Ombudsman complaints.

#### **6. Mazars Audit Update Report (Pages 79 - 92)**

This report introduces a report from Mazars on progress made in delivering their responsibilities as the council's external auditors.

**7. Treasury Management Mid-Year Review and Prudential Indicators 2019/20** (Pages 93 - 106)

This report provides an update on the council's treasury management activities for the period 1 April to 30 September 2019 and compliance with Prudential Indicators.

**8. Settlement Agreements** (Pages 107 - 114)

This report informs Members of the process to be followed in respect of settlement agreements, as confirmed by Staffing Matters & Urgency Committee, following the recommendations made by Audit & Governance Committee in March 2019.

**9. Audit & Counter Fraud Monitoring Report** (Pages 115 - 138)

This report provides an update on progress made in delivering the internal audit work plan for 2019/20 and on current counter-fraud activity.

*Note: the internal audit reports referred to in Annex 1 to the above report are not included in the agenda pack but are available to view with the agenda on the internet.*

**10. Review of the Audit & Governance Committee Effectiveness** (Pages 139 - 142)

This report considers the options available for undertaking a review of Audit & Governance Committee's effectiveness.

**11. Whistleblowing Update** (Pages 143 - 162)

This report seeks final comments from the committee on a proposed new whistleblowing policy for the council.

**12. Social Media Policy and Process - a Review** (Pages 163 - 170)

This report describes the implementation of the council's social media policy and process and the impact it has had to date, and provides an update on the media protocol.

**13. Audit & Governance Committee Forward Plan to September 2020** (Pages 171 - 178)

To consider the future plan of reports expected to be presented to the committee up to September 2020.

## 14. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

Name: Fiona Young  
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For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.

**This information can be provided in your own language.**

我們也用您們的語言提供這個信息 (Cantonese)

এই তথ্য আপনার নিজের ভাষায় দেয়া যেতে পারে। (Bengali)

Ta informacja może być dostarczona w twoim własnym języku. (Polish)

Bu bilgiyi kendi dilinizde almanız mümkündür. (Turkish)

یہ معلومات آپ کی اپنی زبان (بولی) میں بھی مہیا کی جاسکتی ہیں۔ (Urdu)

 (01904) 551550